IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Peter Szor

Assignee: Symantec Corporation

Title: KERNEL MODE OVERFLOW ATTACK PREVENTION SYSTEM AND METHOD

Serial No.: 10/781,207   Filed: February 17, 2004

Examiner: Unassigned   Group Art Unit: 2182

Docket No.: SYMC1048

Monterey, CA
December 6, 2006

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT
UNDER §1.97(b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of the non-US Patent document is enclosed) to the attention of the Examiner:

U.S. PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME
1)	5,598,531	01/28/97	Hill
2)	5,802,178	09/01/98	Holden et al.

OTHER DOCUMENTS

1)	Vasudevan et al., "SPiKE: Engineering Malware Analysis Tools using Unobtrusive Binary-Instrumentation", _Conferences in Research and Practice in Information Technology_, Vol. 48, Australian Computer Society, Inc., January 2006, pages 1-10. Retrieved from the Internet on December 1, 2006 from <URL:http://crpit.com/confpapers/CRPITV48Vasudevan.pdf>.

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- 1 -   Serial No. 10/781,207

A PTO form 1449 listing these documents is enclosed. Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;

2. a representation that a search has been made, other than as described above; or

3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The Commissioner is hereby authorized to charge any fees required for consideration of this Information Disclosure Statement, and to credit any overpayment of fees to Deposit Account No. 50-0553.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 6, 2006.

_____   December 6, 2006
Attorney for Applicant(s)   Date of Signature

Respectfully submitted,
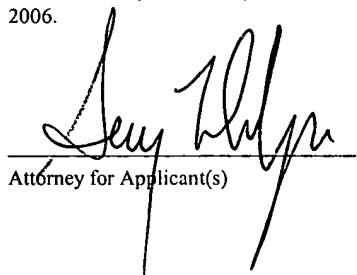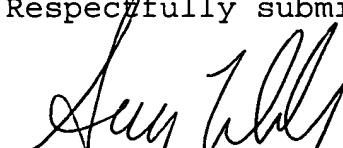
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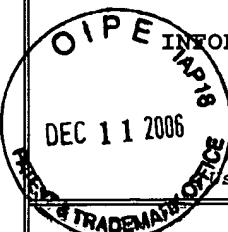
Form PTO-1449	Atty Docket No. SYMC1048	Serial No. 10/781,207
INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Applicant(s) Peter Szor	
(Use several sheets if necessary)	Filing Date February 17, 2004	Group 2182

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,598,531	01/28/97	Hill	395	186	
	AB	5,802,178	09/01/98	Holden et al.	380	49	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	Vasudevan et al., "SPiKE: Engineering Malware Analysis Tools using Unobtrusive Binary-Instrumentation", Conferences in Research and Practice in Information Technology, Vol. 48, Australian Computer Society, Inc., January 2006, pages 1-10. Retrieved from the Internet on December 1, 2006 from <URL:http://crpit.com/confpapers/CRPITV48Vasudevan.pdf>.
	AS	
	AT	

Examiner	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).